

# EMCC GROUP WHISTLE-BLOWING POLICY

## POLICY STATEMENT

Evergreen Max Cash Capital Berhad and its subsidiaries (“EMCC”) is committed to the highest standard of ethics and integrity in its conduct of business and operations. As part of this commitment, EMCC has in place an avenue for disclosure of any improper conduct.

## OBJECTIVES OF THIS POLICY

The objective of this policy is to ensure that all employees and members of the public have access to secured channels to make disclosures on any improper conduct by any member or representative of EMCC, with the assurance that there will be no repercussion against them.

## SCOPE

Improper conduct includes, but is not limited to:

1. Bribery and corruption;
2. Fraud, theft or embezzlement;
3. Abuse of power by an employee;
4. Conflict of interest;
5. Breach of EMCC’s Code of Conduct;
6. Failure to comply with legal and regulatory obligations;
7. Unauthorised disclosure of customer information; and
8. Concealment of any of the above.

Any person who is aware of, or has reasonable grounds to suspect that, any improper conduct has been committed by an employee or representative of EMCC can make a disclosure.

## DISCLOSURE CHANNELS

Disclosures can be made via any of the following channels:

Email: [whistleblowing@emc.capital](mailto:whistleblowing@emc.capital)

Letter: Lot 11-10, 11th Floor, Wisma Trax, Jalan Lima Off Jalan Chan Sow Lin, 55200 Kuala Lumpur.

Whistle-blowers are encouraged to include the following information in the disclosure to facilitate investigations:

- Name of person(s) involved;
- Date and time of the event;
- Nature of the event;
- Witness to the event, if any; and
- Evidence of the event, if any.

## OTHER DISCLOSURE CHANNELS

The whistle-blower may also make disclosures through the following channels:

Bank Negara Malaysia <https://www.bnm.gov.my/whistleblowing-policy>

Securities Commission <https://www.sc.com.my/investor-empowerment/lodge-a-complaint>

Malaysia Anti-Corruption Commission <https://portaladuan.sprm.gov.my>

## CONFIDENTIALITY AND PROTECTION OF WHISTLE-BLOWER

The identity of a whistle-blower who made a disclosure in good faith will be kept confidential and will only be disclosed on a strictly need-to-know basis. Employees who whistle blow in good faith will also be protected by EMCC from any repercussion.